

REMARKS

Drawing objections have been noted and formal Figs. 1, 2 and 3 are submitted herewith to overcome this objection.

Claim 7 is rejected under 35 U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. This rejection is overcome in view of the amendment to Claim 7, amending its dependency from Claim 2 to Claim 1.

Claims 1, 4, 5, 7, 8, 12, 15, 16, 18, 19, 23 and 26-28 are rejected under 35 U.S.C. §103(a) as being unpatentable over *Burke et al.* (U.S. Patent 5,706,347) in view of Applied Cryptography (hereinafter *Schneier*).

Claims 9, 10, 20 and 21 are rejected under 35 U.S.C. §103(a) as being unpatentable over *Burke et al.* and *Schneier* as applied to claims 1 and 12 above, and further in view of *Dillaway et al.* (U.S. Patent No. 5,742,756).

Claims 11, 22 and 29 are rejected under 35 U.S.C. §103(a) as being unpatentable over *Burke et al.* and *Schneier* as applied to claims 1, 12 and 23 above, and further in view of *Kruys* (U.S. Patent 5,555,309).

Applicants traverse these rejections on the grounds that these references are defective in establishing a *prima facie* case of obviousness.

As the PTO recognizes in MPEP §2142:

...The Examiner bears the initial burden of factually supporting any *prima facie* conclusion of obviousness. If the Examiner does not produce a *prima facie* case, the Applicant is under no obligation to submit evidence

of nonobviousness.....the Examiner must step backward in time and into the shoes worn by the hypothetical 'person of ordinary skill in the art' when the invention was unknown and just before it was made....The Examiner must put aside knowledge of the Applicant's disclosure, refrain from using hindsight, and consider the subject matter claimed 'as a whole.'"

The independent claims of the present invention each include:

"generating a session key;
encrypting the data utilizing the session key;
encrypting the session key utilizing a user public key;
encrypting the session key utilizing a master public key;
generating a data packet including the encrypted data, the encrypted session key utilizing the user public key and the encrypted session key utilizing the master public key;
transmitting the data packet to a destination data processing system;
decrypting the data packet utilizing the session key;
decrypting the session key utilizing a user private key; and
decrypting the encrypted session key utilizing a master private key."

The cited references fail to disclose the claimed invention. Therefore, there is simply no basis in the art for combining the references to support a 35 U.S.C. §103 rejection because none of the cited references teach or even suggest the desirability of the combination. Moreover, none of the cited references provide any incentive or motivation supporting the desirability of the combination. These references do not achieve a combined teaching or suggestion of: generating a session key; encrypting the data utilizing the session key; encrypting the session key utilizing a user public key; encrypting the session key utilizing a master public key; generating a data packet including the encrypted data, the encrypted session key utilizing the user public key and

the encrypted session key utilizing the master public key; transmitting the data packet to a destination data processing system; decrypting the data packet utilizing the session key; decrypting the session key utilizing a user private key; and decrypting the encrypted session key utilizing a master private key.

The MPEP §2143.01 provides:

The mere fact that references can be combined or modified does not render the resultant combination obvious unless the prior art also suggests the desirability of the combination. *In re Mills*, 916 F.2d 680, 16 USPQ2d 1430 (Fed. Cir. 1990).

Therefore, the Examiner's combination arises solely from hindsight based on the invention without any showing of suggestion, incentive or motivation in either reference for the combination.

Thus, the Examiner's burden of factually supporting a *prima facie* case of obviousness has clearly not been met.

The Federal Circuit has, on many occasions, held that there was no basis for combining references to support a 35 U.S.C. §103 rejection. For example, in *In re Geiger*, the court stated in holding that the PTO "failed to establish a *prima facie* case of obviousness":

Obviousness cannot be established by combining the teachings of the prior art to produce the claimed invention, absent some teaching, suggestion or incentive supporting the combination. *ACS Hospital Systems, Inc. v. Montefiore Hospital*, 732 F.2d 1572, 1577, 221 USPQ 929, 933 (Fed. Cir. 1984).

The Federal Circuit has also repeatedly warned against using the Applicant's disclosure as a blueprint to reconstruct the claimed invention out of isolated teachings in the prior art. See e.g., *Grain Processing Corp. v. American Maize-Products*, 840 F.2d 902, 907, 5 USPQ2d 1798, 1792 (Fed. Cir. 1989).


More recently, the Federal Circuit found motivation absent in *In re Rouffet*, 149 F.3d 1350, 47 USPQ2d 1453 (Fed. Cir. 1998). In this case, the court concluded that the board had "reversibly erred in determining that one of [ordinary] skill in the art would have been motivated to combine these references in a manner that rendered the claimed invention [to have been] obvious." The court noted that to "prevent the use of hindsight based on the invention to defeat patentability of the invention, this court requires the Examiner to show a motivation to combine the references that create the case of obviousness." The court further noted that there were three possible sources for such motivation, namely "(1) the nature of the problem to be solved; (2) the teachings of the prior art; and (3) the knowledge of persons of ordinary skill in the art." Here, according to the court, the board had relied simply upon "the high level of skill in the art to provide the necessary motivation," without explaining what specific understanding or technological principle within the knowledge of one of ordinary skill in the art would have suggested the combination. Notably, the court wrote: "If such a rote invocation could suffice to supply a motivation to combine, the more sophisticated scientific fields would rarely, if ever, experience a patentable technical advance."

Therefore, independent claims 1, 12 and 23 and the claims dependent therefrom are submitted to be allowable.

In view of the above, it is respectfully submitted that claims 1, 4, 5, 7-12, 15, 16, 18-23, 26, 27 and 29 are in condition for allowance. Accordingly, an early Notice of Allowance is courteously solicited.


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